07/07/2004

PTO/SB/17 (10-03)

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TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Signature

(\$) 130.00

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Complete if Known				
Application Number	10/677,967			
Filing Date	October 2, 2003			
First Named Inventor	Anke Esperester et al			
Examiner Name	To be assigned			
Art Unit	1614			
Attorney Docket No.	1/1556			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
Check Credit card Money Other None	3. ADDITIONAL FEES						
Order Order	<u>Large</u>	Entity	Small	Entity			
Deposit Count:	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee D	Description	Fee Paid
Account 02-2955	1051	130	2051		Surcharge - late	filing fee or oath	Tee Falla
Number Deposit 02.2055	1052	50	2052		-	provisional filing fee or	
Account Name 02-2955	1053				cover sheet	-1641	130.00
The Director is authorized to: (check all that apply)		130	1053		Non-English spe	ecification est for <i>ex parte</i> reexamination	
Charge fee(s) indicated below Credit any overpayments		2,520	1812 2	-,		ication of SIR prior to	'
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920	Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting pub Examiner action	lication of SIR after	
FEE CALCULATION		110	2251	55	Extension for re	ply within first month	<u> </u>
1. BASIC FILING FEE	1252	420	2252	210	Extension for re	eply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for re	eply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for re	ply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for re	eply within fifth month	├
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appea	al	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in	support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for ora	I hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institu	ute a public use proceeding	
·		110	2452	55	Petition to revive	e - unavoidable	
		1,330	2453	665	Petition to reviv	re - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee	(or reissue)	
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fe	e	
Total Claims20** = X18.00 =	1503	640	2503	320	Plant issue fee		
Independent 3** = X 86.00 =	1460	130	1460	130	Petitions to the	Commissioner	
Multiple Dependent 290.00 =		50	1807	7 50	Processing fee	under 37 CFR 1.17(q)	
Large Entity Small Entity		180	1806			nformation Disclosure Stmt	
Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)	8021	40	8021	40	Recording each	patent assignment per number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385		sion after final rejection	
1201 86 2201 43 Independent claims in excess of 3					(37 CFR 1.129)		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each addition examined (37 C	onal invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	•	ontinued Examination (RCE)	
	1802		1802	900	•	xpedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent		220	1		of a design app		
SUBTOTAL (2) (\$)	l i	Other fee (specify)					<u> </u>
**or number previously paid, if greater; For Reissues, see above	*Red	uced by	Basic I	Filing F	ee Paid s	SUBTOTAL (3) (\$)	130.00
SUBMITTED BY						(Complete (if applicable))	
Name (Print/Type) Susan K. Pocchiari		Registra (Attorne)	tion No		45,016	Telephone 203-798-5	648

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Attorney/Agent)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

: Anke Esperester et al

)Art Unit:

1614

Serial No.

: 10/677,967

)Examiner:

To be assigned

Confirmation No.: 9274

)Docket No.:

1/1556

Filed

: October 2, 2003

For

: Ambroxol for the treatment of inflammation in the pharynx

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(1)

Sir:

Applicants respectfully request change of inventorship for the above-identified application by adding inventor Liane Paul. The error in not naming of the inventor occurred without any deceptive intention on the part of Liane Paul. Submitted herewith is: a statement from Liane Paul that the error occurred without deceptive intention on her part; a Declaration by each actual inventor; the processing fee as set forth in 37 C.F.R. §1.17(i); and written consent of the existing assignee, Boehringer Ingelheim Pharmaceuticals, Inc.

Respectfully submitted,

Susan K. Pocchiari

Attorney for Applicant(s)

Reg. No. 45,016

Boehringer Ingelheim Corp. 900 Ridgebury Road P.O. Box 368 Ridgefield, CT. 06877

Tel.: (203) 798-5648

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express

Mail in an envelope addressed to:

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on July 7, 2004

Bv:

Susan K. Pocchiari

Reg. No. 45,016

07/13/2004 FFRNAIA2 00000080 022955

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Anke Esperester et al) Art Unit: 1614

Serial No. : 10/677,967) Examiner: To be assigned

Confirmation No.: 9274) Docket No.: 1/1556

Filed: October 2, 2003

For : Ambroxol for the treatment of inflammation in the pharynx

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

June 11, 2004

CONSENT OF ASSIGNEE PURSUANT TO 37 C.F.R. § 1.489(a)(4)

Sir:

The assignee, Boehringer Ingelheim Pharma GmbH & Co. KG., of the aboveidentified application hereby gives consent to the requested inventorship correction adding inventor Liane Paul submitted herewith. I have the authority to act on behalf of the assignee.

Respectfully submitted,

Boehringer Ingelheim Pharma GmbH & Co. KG ppa.

Dr. H. HAMMANN

Application of : Anke Esperester et al) Art Unit: 1614

Serial No. : 10/677,967) Examiner: To be assigned

Confirmation No.: 9274) Docket No.: 1/1556

Filed: October 2, 2003

For : Ambroxol for the treatment of inflammation in the pharynx

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

June 11, 2004

STATEMENT OF LIANE PAUL PURSUANT TO 37 C.F.R. § 1.489(a)(2)

Sir:

The inventorship error in the above-identified application occurred without deceptive intention on my part.

Respectfully submitted,

Liane Paul